

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2000

Department of the Treasury
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2000, or tax year beginning 9/01, 2000, and ending 12/31, 2000

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

THE MOMMSEN FOUNDATION
980 PALO ALTO AVE.
PALO ALTO, CA 94301-2223

A Employer identification number
77-0549283

B Telephone number (see page 10 of the instructions)
(650) 326-6637

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

\$ 42,027

J Accounting method: Cash Accrual
 Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue & Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in col.(a) (see page 10 of the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes

(cash basis only)

REVENUE

1 Contributions, gifts, grants, etc., received (attach sch.)	117,265	See Stmt 1		
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	580	580	580	
5a Gross rents				
b (Net rental income or (loss) _____)				
6a Net gain or (loss) from sale of assets not on line 10	-7,924	See Stmt 2		
b Gross sales price for all assets on line 6a <u>20,271</u>				
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	109,921	580	580	

OPERATING & ADMINISTRATIVE EXPENSES

13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 TAXES (attach sch.) (see pg. 14 of instr.)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	639			639
22 Printing and publications				
23 Other expenses (attach schedule). See Stmt 3	2,546	25		1,637
24 Total operating and administrative expenses. Add lines 13 through 23	3,185	25		2,276
25 Contributions, gifts, grants paid See Part XV	52,966			52,966
26 Total expenses and disbursements. Add lines 24 and 25	56,151	25	0	55,242

27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	53,770			
b Net investment income (if negative, enter -0-)		555		
c Adjusted net income (if negative, enter -0-)			580	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments.....		2,930	2,930	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable.....				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach sch.) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges.....				
	10a	Investments - U.S. and state government obligations (att. sch.)				
	b	Investments - corporate stock (attach schedule)		38,466	26,723	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)				
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ See Statement 4)		12,374	12,374		
16	Total assets (to be completed by all filers - see pg. 16 of the instructions Also, see page 1, item I)	0	53,770	42,027		
LIABILITIES	17	Accounts payable and accrued expenses.....				
	18	Grants payable.....				
	19	Deferred revenue.....				
	20	Loans from officers, directors, trustees, & other disqual. persons				
	21	Mortgages and other notes payable (attach schedule).....				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0	0			
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted.....				
	26	Permanently restricted.....				
	Organizations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		53,770		
30	Total net assets or fund balances (see page 17 of the instructions)	0	53,770			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	0	53,770			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2	Enter amount from Part I, line 27a	2	53,770
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	53,770
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	53,770

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	211 SHARES IBM	D	9/01/00	12/11/00
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	20,271	28,195	-7,924	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				-7,924
b				
c				
d				
e				
2 Capital gain net income or (net capital loss). { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2 -7,924
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8				3 -7,924

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A
If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
1999			
1998			
1997			
1996			
1995			
2	Total of line 1, column (d)		2
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.		3
4	Enter the net value of noncharitable-use assets for 2000 from Part X, line 5		4
5	Multiply line 4 by line 3		5
6	Enter 1% of net investment income (1% of Part I, line 27b)		6
7	Add lines 5 and 6		7
8	Enter qualifying distributions from Part XII, line 4		8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)	
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary - see instructions)	
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b.	1 11
c All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) ..	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2 0
3 Add lines 1 and 2.	3 11
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4 0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5 11
6 Credits/Payments:	
a 2000 estimated tax payments & 1999 overpayment credited to 2000	6a
b Exempt foreign organizations - tax withheld at source	6b
c Tax paid with application for extension of time to file (Form 8868)	6c
d Backup withholding erroneously withheld	6d
7 Total credits and payments. Add lines 6a through 6d.	7 0
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 11
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10
11 Enter the amount of line 10 to be: Credited to 2001 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11

Part VII-A Statements Regarding Activities		Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.			
c Did the organization file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization. <input type="checkbox"/> \$ 0 (2) On the organization managers. <input type="checkbox"/> \$ 0			
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers. <input type="checkbox"/> \$ 0			
2 Has the organization engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the organization have at least \$5,000 in assets at any time during the year?	7	X	
If "Yes," complete Part II, column (c), and Part XV.			
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> CALIFORNIA			
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2000 or the taxable year beginning in 2000 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV.	9		X
10 Did any persons become substantial contributors during the tax year?	10	X	
If "Yes," attach a schedule listing their names and addresses. See Statement 5			
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?	11	X	
12 The books are in care of <input type="checkbox"/> KATHARINA MOMMSEN Telephone No. <input type="checkbox"/> (650) 326-6637 Located at <input type="checkbox"/> 980 PALO ALTO AVE., PALO ALTO, CA ZIP + 4 <input type="checkbox"/> 94301-2223			
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> N/A <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 13 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with columns for question text, Yes, and No. Rows include questions 1a through 6b regarding disqualifying activities, undistributed income, business holdings, investments, and propaganda.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions):

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ▶

3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE - YEAR 2000 WAS THE FIRST YEAR OF OPERATION FOR THE MOMMSEN FOUNDATION.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See page 22 of the instructions.	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	41,974
b Average of monthly cash balances	1b	26,536
c Fair market value of all other assets (see page 23 of the instructions)	1c	
d Total (add lines 1a, b, and c)	1d	68,510
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	68,510
4 Cash deemed held for charitable activities - Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	1,028
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	67,482
6 Minimum investment return. Enter 5% of line 5	6	1,128

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,128
2a Tax on investment income for 2000 from Part VI, line 5	2a	11
b Income tax for 2000. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	11
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,117
4a Recoveries of amounts treated as qualifying distributions	4a	
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	
5 Add lines 3 and 4c	5	1,117
6 Deduction from distributable amount (see page 23 of the instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and Part XIII, line 1	7	1,117

Part XII Qualifying Distributions (see page 24 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	55,242
b Program-related investments - total of lines 1 - 3 of Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc. purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,242
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	55,242

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 1999	(c) 1999	(d) 2000
1 Distributable amount for 2000 from Part XI, line 7				1,117
2 Undistributed income, if any, as of the end of 1999:				
a Enter amount for 1999 only			0	
b Total for prior years: 19____, 19____, 19____		0		
3 Excess distributions carryover, if any, to 2000:				
a From 1995				
b From 1996				
c From 1997				
d From 1998				
e From 1999				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2000 from Part XII, line 4:				
▶ \$ 55,242				
a Applied to 1999, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required - see page 25 of the instructions) . . .		0		
c Treated as distributions out of corpus (Election required - see page 25 of the instructions) . . .	0			
d Applied to 2000 distributable amount				1,117
e Remaining amount distributed out of corpus	54,125			
5 Excess distributions carryover applied to 2000 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	54,125			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount - see page 25 of the instructions		0		
e Undistributed income for 1999. Subtract line 4a from line 2a. Taxable amount - see pg. 25 of the instructions .			0	
f Undistributed income for 2000. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2001 . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)	0			
8 Excess distributions carryover from 1995 not applied on line 5 or line 7 (see page 25 of the instructions)	0			
9 Excess distributions carryover to 2001. Subtract lines 7 and 8 from line 6a	54,125			
10 Analysis of line 9:				
a Excess from 1996				
b Excess from 1997				
c Excess from 1998				
d Excess from 1999				
e Excess from 2000	54,125			

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2000, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5).

	Tax year	Prior 3 years			(e) Total
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	
2 a Enter the lesser of the adjusted net income from Part I or the min. investment return from Part X for each year listed					
b 85% of line 2a.					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amts incld in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - Enter 2/3 of min. investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income.					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 26 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) See Statement 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc., (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

See Statement 8

b The form in which applications should be submitted and information and materials they should include:

See Statement 9

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement 10

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year STIFTUNG WEIMARER KLASSIK, D-99423 WEIMAR BURGPLATZ 4, GERMANY</p>	<p>NONE</p>		<p>TO FUND THE COMPLETION OF TEN OR MORE VOLUMES OF DIE ENTSTEHUNG von GOETHE WERKEN IN DOKUMENTEN, DOCUMENTING THE GENESIS OF OVER 2,000 WORKS OF JOHANN WOLFGANG von GOETHE.</p>	<p>52,966</p>
Total				<p>▶ 3a 52,966</p>
<p>b Approved for future payment</p>				
Total				<p>▶ 3b</p>

DO NOT FILE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-----|----|
| a Transfers from the reporting organization to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other Transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

SIGNATURE HERE PAID PREPARE ONLY	Signature of officer or trustee: <u>Richard W. Wong</u>		Date: _____		Title: <u>President</u>	
	Preparer's signature: <u>RICHARD W. WONG</u>		Date: _____		Check if self-employed: <input checked="" type="checkbox"/>	
	Firm's name (or yours if self-employed), address, and ZIP code: <u>Richard W. Wong CPA</u> <u>233 Sansome Street, Suite 1008</u> <u>San Francisco, CA 94104</u>		EIN: <u>94-2523389</u>		Preparer's SSN or PTIN (See Signature on page 28 of the instructions.)	
			Phone no.: <u>(415) 781-1040</u>			

THE MOMMSEN FOUNDATION

77-0549283

Statement 1
Form 990-PF, Part I, Line 1
Contributions, Gifts, and Grants

Direct Contributions:

Contributor's Name	Contributor's Address	Amount of Contr.
[REDACTED]	[REDACTED]	\$ 13,750
[REDACTED]	[REDACTED]	13,750
[REDACTED]	[REDACTED]	15,534
[REDACTED]	[REDACTED]	56,256
Description : 421 SHARES IBM		
Date Received: 9/01/00		
[REDACTED]	[REDACTED]	5,381
Description : 100 SHARES SMART FORCE		
Date Received: 9/01/00		
[REDACTED]	[REDACTED]	5,023
Description : 125 SHARES BELL SOUTH		
Date Received: 12/22/00		
Direct Contributions less than \$5,000		7,571
Total Direct Contributions		<u>\$ 117,265</u>
Total Contributions		<u><u>\$ 117,265</u></u>

THE MOMMSEN FOUNDATION

77-0549283

Statement 2
Form 990-PF, Part I, Line 6
Net Gain (Loss) from Noninventory Sales

Publicly Traded Securities:

Gross sales price: 20,271
 Cost or other basis: 28,195

Gain (Loss)		\$ -7,924
Total Gain (Loss)		\$ -7,924

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SUPPLIES	\$ 1,112			1,112
LICENSE & FEE	525			525
BANK CHARGES	25	25		
Amortization	884			N/A
Totals	\$ 2,546	25		1,637

Statement 4
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Mkt. Value
Net Intangible Assets	\$ 12,374	\$ 12,374
Total	\$ 12,374	\$ 12,374

Statement 5
Form 990-PF, Part VII-A, Line 10
Substantial Contributors During the Tax Year



THE MOMMSEN FOUNDATION

77-0549283

Statement 6
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title & avg. Hrs/wk devoted	Comp.	Employee Ben. Pln Contrib.	Expense Account/ Other
KATHARINA MOMMSEN 980 PALO ALTO AVE. PALO ALTO, CA 94301-2223	Director/CFO PART TIME	\$ 0	0	0
HISAKO MATSUBARA [REDACTED]	Director/Pres. PART TIME		0	0
MONIKA IHLENFELD [REDACTED]	Director PART TIME		0	0
Total		\$ 0	0	0

Statement 7
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

KATHARINA MOMMSEN

Statement 8
Form 990-PF, Part XV, Line 2a
Name and Address of Person to Whom Applicants should be Addressed

KATHARINA MOMMSEN, 980 PALO ALTO AVE., PALO ALTO, CA 94301-2223,
(650) 326-6637

Statement 9
Form 990-PF, Part XV, Line 2b
The Form in Which Applications should be Submitted

THE MOMMSEN FOUNDATION WAS EXPRESSLY FORMED TO SUPPORT ORGANIZATIONS THAT ARE ORGANIZED AND OPERATED EXCLUSIVELY FOR CHARITABLE OR EDUCATIONAL PURPOSES AND THAT ARE EXEMPT FROM TAXATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. MORE SPECIFICALLY, THE FOUNDATION WILL SUPPORT THE ADVANCEMENT OF WORLD LITERATURE BY MEANS OF MAKING CONTRIBUTIONS TO :

(1) STIFTUNG WEIMARER KLASSIK, WEIMAR, GERMANY, AN ORGANIZATION THAT IS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE, TO FUND THE COMPLETION OF TEN OR MORE VOLUMES OF DIE ENTSTEHUNG VON GOETHE'S WERKEN IN DOKUMENTEN, DOCUMENTING THE GENESIS OF OVER 2,000 WORKS OF JOHANN WOLFGANG VON GOETHE. AND/OR (2) OTHER ORGANIZATIONS THAT ARE EXEMPT FROM TAXATION

THE MOMMSEN FOUNDATION

77-0549283

Statement 9 (continued)
Form 990-PF , Part XV, Line 2b
The Form in Which Applications should be Submitted

UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE FOR THE PURPOSE OF FUNDING THE ACQUISITION OF MANUSCRIPTS BY LIBRARIES AND LITERARY ARCHIVES, THE RESTORATION OF LITERARY MANUSCRIPTS AND TRANSLATION, ANALYSIS AND DOCUMENTATION OF WORLD LITERATURE. ANY QUALIFIED ORGANIZATION INTERESTED IN PURSUING SUCH PURPOSES CAN SUBMIT A WRITTEN PROPOSAL TO THE FOUNDATION FOR CONSIDERATION.

Statement 10
Form 990-PF , Part XV, Line 2d
Any Restrictions or Limitations on Awards

TO SUPPORT ORGANIZATIONS THAT ARE ORGANIZED AND OPERATED EXCLUSIVELY FOR CHARITABLE OR EDUCATIONAL PURPOSES AND THAT ARE EXEMPT FROM TAXATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND TO SUPPORT OTHER CHARITABLE, EDUCATIONAL, LITERARY AND SCIENTIFIC ENDEAVORS AS PERMITTED UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.

YEAR
2000

California Exempt Organization Annual Information Return

FORM
199

For calendar or fiscal year beginning month 09 day 01 year 2000, and ending month 12 day 31 year 2000

IMPORTANT: Your number is required.

California corporation number

2081356

Federal employer identification number

77-0549283

**Attach Preaddressed Label
or See Instructions**

Corporation/Organization name

THE MOMMSEN FOUNDATION

Address

980 PALO ALTO AVE.

PMB no.

City

State

ZIP Code

PALO ALTO, CA 94301-2223

A Final return? Yes. If yes, check applicable box No
 Dissolved Withdrawn Merged/Reorganized (attach explanation)
 If a box is checked, enter date _____

B Check forms filed this year: State: 109 100 100S
 Federal: 990 990EZ 990T 990PF
 1041 1120H 1120

C If organization is exempt under R&TC Section 23701d and is a school, public charity, religious organization, or is controlled by a religious operation, check box. See Gen. Instruction F. No filing fee is required.

D Is this a group filing? See General Instruction M. Yes No

E Accounting method used Cash

F Type of organization Exempt under Section 23701 (insert letter) IRC Section 4947(a)(1) trust

Part I Complete Part I unless not required to file this form. See General Instructions B and C.

Receipts and Revenues (Attach check or money order here.)	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8	1	20,851.
	2	Gross dues and assessments from members and affiliates	2	
	3	Gross contributions, gifts, grants, and similar amounts received. See instructions. See St. 1	3	117,265.
	4	Total gross receipts for filing requirement test. Add line 1 through line 3 This line must be completed. If the result is less than \$25,000, see General Instruction, C.	4	138,116.
	5	Cost of goods sold	5	
	6	Cost or other basis, and sales expenses of assets sold	6	28,195.
	7	Total costs. Add line 5 and line 6	7	28,195.
	8	Total gross income. Subtract line 7 from line 4	8	109,921.
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18	9	56,151.
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	10	53,770.
Filing Fee	11	Filing fee \$10 or \$25. See General Instruction F.	11	10.
	12	Penalty for failure to file on time. See General Instruction L.	12	.
	13	Balance due. Add line 11 and line 12	13	10.

- 14 If exempt under R&TC Section 23701d, has the organization during the year: (1) participated in any political campaign or (2) attempted to influence legislation or any ballot measure, or (3) made an election under R&TC Section 23704.5 (relating to lobbying by public charities)? If "Yes," complete and attach form FTB 3509, Political or Legislative Activities by Section 23701d Organizations. Yes No
- 15 Did the organization have any changes in its activities, governing instrument, articles of incorporation, or bylaws that have not been reported to the Franchise Tax Board? If "Yes," complete an explanation and attach copies of revised documents Yes No
- 16 Is the organization exempt under R&TC Section 23701g? Yes No
If "Yes," enter amount of gross receipts from nonmember sources \$ _____
- 17 Did the organization file Form 100, Form 100S, or Form 109 to report taxable income? Yes No
If "Yes," enter amount of total income reported \$ _____
- 18 The financial records are in care of KATHARINA MOMMSEN Daytime telephone (650) 326-6637
located at 980 PALO ALTO AVE., PALO ALTO, CA 94301-2223

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer _____ Date _____ Title President
Telephone (650) 326-6637

Paid Preparer's Use Only

Preparer's signature RICHARD W. WONG Date _____ Check if self-employed Preparer's SSN or PTIN _____
Firm's name (or yours, if self-employed) and address Richard W. Wong CPA
233 Sansome Street, Suite 1008
San Francisco, CA 94104 FEIN 94-2523389
Daytime telephone (415) 781-1040

Part II Organizations with gross receipts of more than \$25,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information. See Specific Line Instructions.

Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions.	1	
	2	Interest.	2	
	3	Dividends.	3	580.
	4	Gross rents.	4	
	5	Gross royalties.	5	
	6	Gross amount received from sale of assets.	6	20,271.
	7	Other income. Attach schedule.	7	
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1.	8	20,851.
Expenses and Disbursements	9	Contributions, gifts, grants, and similar amounts paid. Attach schedule. See Statement 2.	9	52,966.
	10	Disbursements to or for members.	10	
	11	Compensation of officers, directors and trustees. Attach schedule. See Statement 3.	11	0.
	12	Other salaries and wages.	12	
	13	Interest.	13	
	14	Taxes.	14	
	15	Rents.	15	
	16	Depreciation and depletion.	16	
	17	Other. Attach schedule. See Statement 4.	17	3,185.
	18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9.	18	56,151.

Schedule L Balance Sheets

	Beginning of taxable year		End of taxable year	
	(a)	(b)	(c)	(d)
Assets				
1 Cash				2,930
2 Net accounts receivable				
3 Net notes receivable. Attach schedule				
4 Inventories				
5 Federal and state government obligations				
6 Investments in other bonds. Attach schedule				
7 Investments in stock. Attach schedule				38,466
8 Mortgage loans (number of loans _____)				
9 Other investments. Attach schedule				
10 a Depreciable assets				
b Less accumulated depreciation	()	()	()	
11 Land				
12 Other assets. Attach schedule. Stmt 5.				12,374
13 Total assets				53,770
Liabilities and net worth				
14 Accounts payable				
15 Contributions, gifts, or grants payable				
16 Bonds and notes payable. Attach sch				
17 Mortgages payable				
18 Other liabilities. Attach schedule				
19 Capital stock or principle fund				
20 Paid-in or capital surplus. Attach reconciliation				
21 Retained earnings or income fund				53,770
22 Total liabilities and net worth				53,770

Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$25,000.

1	Net income per books	53,770	7	Income recorded on books this year not included in this return. Attach schedule.	
2	Federal income tax		8	Deductions in this return not charged against book income this year. Attach schedule.	
3	Excess of capital losses over capital gains		9	Total. Add line 7 and line 8	
4	Income not recorded on books this year. Attach schedule		10	Net income per return. Subtract line 9 from line 6	53,770
5	Expenses recorded on books this year not deducted in this return. Att schedule				
6	Total. Add line 1 through line 5	53,770			

Statement 1
Form 199, Part I, Line 3
Contributions, Gifts, Grants, and Similar Amounts Received

Not Open To Public Inspection

Direct Contributions: Contributor's Name	Contributor's Address	Amount of Contr.
[REDACTED]	[REDACTED]	\$ 13,750
[REDACTED]	[REDACTED]	13,750
[REDACTED]	[REDACTED]	15,534
[REDACTED]	[REDACTED]	56,256
Description : 421 SHARES IBM		
Date Received: 9/01/00		
[REDACTED]	[REDACTED]	5,381
Description : 100 SHARES SMART FORCE		
Date Received: 9/01/00		
[REDACTED]	[REDACTED]	5,023
Description : 125 SHARES BELL SOUTH		
Date Received: 12/22/00		
Direct Contributions less than \$5,000		7,571
Total Direct Contributions		<u>\$ 117,265</u>
Total Contributions, Line 3		<u>\$ 117,265</u>

THE MOMMSEN FOUNDATION

77-0549283

Statement 2
Form 199, Part II, Line 9
Contributions, Gifts, Grants, and Similar Amounts Paid

Class of Activity: RESEARCH
 Donee's Name: STIFTUNG WEIMARER KLASSIK, EGW
 Donee's Address: D-99423 WEIMAR
 BURGPLATZ 4, GERMANY
 Relationship of Donee: NONE
 Organizational Status of Donee: SECTION 501(C)(3)
 Amount Given: \$ 52,966
 Total \$ 52,966

Statement 3
Form 199, Part II, Line 11
Compensation of Officers, Directors, and Trustees

Name, Address and Social Security Number	Title & avg. Hrs/wk devoted	Comp.	Employee Ben. Contrib.	Expense Pln Account/Other
KATHARINA MOMMSEN 980 PALO ALTO AVE. PALO ALTO, CA 94301-2223 [REDACTED]	Director/CFO PART TIME	\$ 0	0	0
HISAKO MATSUBARA [REDACTED] DIRECTOR	Director/Pres. PART TIME	0	0	0
MONIKA IHLENFELD [REDACTED]	Director PART TIME	0	0	0
Total		\$ <u>0</u>	<u>0</u>	<u>0</u>

Statement 4
Form 199, Part II, Line 17
Other Expenses

Amortization.....	\$ 884
BANK CHARGES.....	25
LICENSE & FEE.....	525
SUPPLIES.....	1,112
Travel, Conferences and Meetings.....	639
Total	\$ <u>3,185</u>

Statement 5
Form 199, Schedule L, Line 12
Other Assets

	<u>Ending</u>
Net Intangible Assets.....	\$ 12,374
Total	<u>\$ 12,374</u>

DO NOT FILE