

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2006

Department of the Treasury
Internal Revenue Service

Note: *The foundation may be able to use a copy of this return to satisfy state reporting requirements.*

For calendar year 2006, or tax year beginning _____, **2006, and ending** _____,

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	THE MOMMSEN FOUNDATION 980 PALO ALTO AVE. PALO ALTO, CA 94301-2223	A Employer identification number 77-0549283 B Telephone number (see instructions) (650) 326-6637 C If exemption application is pending, check here. <input type="checkbox"/> D 1 Foreign organizations, check here. <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
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H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
 ▶ \$ 1,087,436.

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>				
REVENUE				
1 Contributions, gifts, grants, etc., received (att sch.)				
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	7,689.	7,689.	7,689.	
4 Dividends and interest from securities	71,738.	71,738.	71,738.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	-48,926.	STATEMENT 1		
b Gross sales price for all assets on line 6a	528,110.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit/(loss) (att sch.)				
11 Other income (attach schedule)	1,236.			
SEE STATEMENT 2				
12 Total. Add lines 1 through 11	31,737.	79,427.	79,427.	
ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch.)	2,195.			2,195.
c Other prof fees (attach sch.)	49,107.			49,107.
17 Interest				
18 Taxes (attach schedule)	-83.			-83.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
SEE STATEMENT 6	1,065.			1,065.
24 Total operating and administrative expenses. Add lines 13 through 23	52,284.			52,284.
25 Contributions, gifts, grants paid				
26 Total expenses and disbursements. Add lines 24 and 25	52,284.	0.	0.	52,284.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-20,547.			
b Net investment income (if negative, enter -0-)		79,427.		
c Adjusted net income (if negative, enter -0-)			79,427.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	75,947.	62,775.	62,775.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,543,666.	1,536,833.	1,024,661.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item l).	1,619,613.	1,599,608.	1,087,436.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons		542.	
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	542.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,619,613.	1,599,066.	
30 Total net assets or fund balances (see instructions)	1,619,613.	1,599,066.		
31 Total liabilities and net assets/fund balances (see instructions)	1,619,613.	1,599,608.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,619,613.
2	Enter amount from Part I, line 27a	2	-20,547.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,599,066.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,599,066.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8.]	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2005			
2004			
2003			
2002			
2001			

2 Total of line 1, column (d).	2
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2006 from Part X, line 5.	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4.	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948— see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary— see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b.	1	1,589.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).	2	0.
3 Add lines 1 and 2.	3	1,589.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	1,589.
6 Credits/Payments:		
a 2006 estimated tax pmts and 2005 overpayment credited to 2006	6a	
b Exempt foreign organizations — tax withheld at source.	6b	
c Tax paid with application for extension of time to file (Form 8868).	6c	
d Backup withholding erroneously withheld.	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	30.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . SEE STATEMENT 7.	9	1,732.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11 Enter the amount of line 10 to be: Credited to 2007 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV.</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions). N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation.</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2006 or the taxable year beginning in 2006 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV.</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

Part VII-A Statements Regarding Activities Continued

11 a At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule. (see instructions).....	11 a		X
b If 'Yes', did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, an annuities described in the attachment for line 11a?	11 b	N/A	
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address..... ► <u>FOUNDATION.KATHARINAMOMMSEN.ORG</u>			
14 The books are in care of ► <u>KATHARINA MOMMSEN</u> Telephone no. ► <u>(650) 326-6637</u>			
Located at ► <u>980 PALO ALTO AVE., PALO ALTO, CA,</u> ZIP + 4 ► <u>94301-2223</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here.....	N/A	► <input type="checkbox"/>	
and enter the amount of tax-exempt interest received or accrued during the year.....	► 15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.....	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).....	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		N/A	
Organizations relying on a current notice regarding disaster assistance check here	► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2006?.....			X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2006, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2006?.....	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.).....		N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.....	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2006 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2006.</i>).....		N/A	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?.....			X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2006?.....			X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *Continued*

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If you answered 'Yes' to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

BAA

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *Continued*

3 Five highest-paid independent contractors for professional services— (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 9	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3.	0.

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	
b Average of monthly cash balances	1 b	
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	0.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0.
6 Minimum investment return. Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	
2a Tax on investment income for 2006 from Part VI, line 5	2 a	1,589.
b Income tax for 2006. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	1,589.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	-1,589.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	-1,589.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	52,284.
b Program-related investments – total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	52,284.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	52,284.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2005	(c) 2005	(d) 2006
1 Distributable amount for 2006 from Part XI, line 7.....				0.
2 Undistributed income, if any, as of the end of 2005:				
a Enter amount for 2005 only.....			0.	
b Total for prior years: 20 ____, 20 ____, 20 ____		0.		
3 Excess distributions carryover, if any, to 2006:				
a From 2001.....	68,206.			
b From 2002.....	50,000.			
c From 2003.....	4,485.			
d From 2004.....	69,995.			
e From 2005.....	64,296.			
f Total of lines 3a through e.....	256,982.			
4 Qualifying distributions for 2006 from Part XII, line 4: ▶ \$ 52,284.				
a Applied to 2005, but not more than line 2a....			0.	
b Applied to undistributed income of prior years (Election required – see instructions).....		0.		
c Treated as distributions out of corpus (Election required – see instructions).....	0.			
d Applied to 2006 distributable amount.....				0.
e Remaining amount distributed out of corpus....	52,284.			
5 Excess distributions carryover applied to 2006..... (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.....	309,266.			
b Prior years' undistributed income. Subtract line 4b from line 2b.....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.....		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions.....		0.		
e Undistributed income for 2005. Subtract line 4a from line 2a. Taxable amount – see instructions.....			0.	
f Undistributed income for 2006. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2007.....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions).....	0.			
8 Excess distributions carryover from 2001 not applied on line 5 or line 7 (see instructions)....	68,206.			
9 Excess distributions carryover to 2007. Subtract lines 7 and 8 from line 6a.....	241,060.			
10 Analysis of line 9:				
a Excess from 2002.....	50,000.			
b Excess from 2003.....	4,485.			
c Excess from 2004.....	69,995.			
d Excess from 2005.....	64,296.			
e Excess from 2006.....	52,284.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2006, enter the date of the ruling. ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2006	(b) 2005	(c) 2004	(d) 2003	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Total ▶				3a
<i>b Approved for future payment</i>				
Total ▶				3b

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, and (e) Related or exempt function income. Rows include items like Program service revenue, Fees and contracts from government agencies, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, Net income from special events, Gross profit, and Other revenue. Total amount is 31,737.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explanatory text. Contains three entries: 4 DIVIDEND INCOME FROM INVESTMENT ACCOUNT MAINTAINED FOR EXEMPTED PURPOSE., 8 GAIN FROM INVESTMENT ACCOUNT MAINTAINED FOR EXEMPTED PURPOSE., 3 INTEREST INCOME FROM INVESTMENT ACCOUNT MAINTAINED FOR EXEMPTED PURPOSE.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash		X
(2) Other assets		X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization		X
(2) Purchases of assets from a noncharitable exempt organization		X
(3) Rental of facilities, equipment, or other assets		X
(4) Reimbursement arrangements		X
(5) Loans or loan guarantees		X
(6) Performance of services or membership or fundraising solicitations		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

S I G N H E R E	Signature of officer or trustee _____ Date _____ Title _____			
	Preparer's signature ▶ RICHARD W. WONG	Date _____	Check if self-employed <input checked="" type="checkbox"/>	Preparer's SSN or PTIN (See Signature in the instrs) P00358911
	Firm's name (or yours if self-employed), address, and ZIP code ▶ RICHARD W. WONG CPA 736 SACRAMENTO STREET SAN FRANCISCO, CA 94108-2505	EIN ▶ 94-2523389	Phone no. ▶ (415) 781-1040	

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STATEMENT 1
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	1000 BLS		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/09/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	27,499.		
COST OR OTHER BASIS:	41,359.		
		GAIN (LOSS)	-13,860.
DESCRIPTION:	10 BLS AY		
DATE ACQUIRED:	5/18/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/10/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,100.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	1,100.
DESCRIPTION:	22 BLS AF		
DATE ACQUIRED:	5/18/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/23/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	770.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	770.
DESCRIPTION:	10 IBM AS		
DATE ACQUIRED:	5/18/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/23/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	550.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	550.
DESCRIPTION:	10 IBM AT		
DATE ACQUIRED:	5/18/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/23/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	300.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	300.
DESCRIPTION:	10 IBM AA		
DATE ACQUIRED:	5/18/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/23/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	150.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	150.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	600 BLS		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/07/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	16,499.		
COST OR OTHER BASIS:	24,816.		
		GAIN (LOSS)	-8,317.
DESCRIPTION:	400 BLS		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/10/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	11,000.		
COST OR OTHER BASIS:	16,544.		
		GAIN (LOSS)	-5,544.
DESCRIPTION:	6 BLS DY		
DATE ACQUIRED:	11/17/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/10/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	540.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	540.
DESCRIPTION:	4 BLS DY		
DATE ACQUIRED:	11/17/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/11/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	360.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	360.
DESCRIPTION:	10 IBM DA		
DATE ACQUIRED:	11/17/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	200.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	200.
DESCRIPTION:	20 IBM DR		
DATE ACQUIRED:	1/24/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	450.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	450.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	6 IBM DS		
DATE ACQUIRED:	11/17/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		750.	
COST OR OTHER BASIS:		0.	
			GAIN (LOSS) 750.
DESCRIPTION:	10 IBM DT		
DATE ACQUIRED:	11/17/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	4/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		500.	
COST OR OTHER BASIS:		0.	
			GAIN (LOSS) 500.
DESCRIPTION:	150 CHUX		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	6/14/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		2,437.	
COST OR OTHER BASIS:		2,543.	
			GAIN (LOSS) -106.
DESCRIPTION:	36 IBM GQ		
DATE ACQUIRED:	5/03/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		5,375.	
COST OR OTHER BASIS:		0.	
			GAIN (LOSS) 5,375.
DESCRIPTION:	10 IBM GS		
DATE ACQUIRED:	1/24/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		550.	
COST OR OTHER BASIS:		0.	
			GAIN (LOSS) 550.
DESCRIPTION:	50 WCC		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:		3,000.	
COST OR OTHER BASIS:		3,738.	
			GAIN (LOSS) -738.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	200 IDSY		
DATE ACQUIRED:	6/29/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/02/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,800.		
COST OR OTHER BASIS:	3,617.		
		GAIN (LOSS)	183.
DESCRIPTION:	100 FILE		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/07/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,520.		
COST OR OTHER BASIS:	2,855.		
		GAIN (LOSS)	665.
DESCRIPTION:	100 FILE		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/07/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,520.		
COST OR OTHER BASIS:	3,119.		
		GAIN (LOSS)	401.
DESCRIPTION:	250 ARRS		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/16/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,687.		
COST OR OTHER BASIS:	3,202.		
		GAIN (LOSS)	-515.
DESCRIPTION:	200 ARRS		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/16/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,150.		
COST OR OTHER BASIS:	2,442.		
		GAIN (LOSS)	-292.
DESCRIPTION:	200 PDC		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/25/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,800.		
COST OR OTHER BASIS:	3,384.		
		GAIN (LOSS)	-584.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	200 PDC		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	8/25/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,800.		
COST OR OTHER BASIS:	2,886.		
		GAIN (LOSS)	-86.
DESCRIPTION:	1200 IBM		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	3/16/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	99,779.		
COST OR OTHER BASIS:	102,844.		
		GAIN (LOSS)	-3,065.
DESCRIPTION:	.4 IBM AS		
DATE ACQUIRED:	3/17/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/17/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	500.		
COST OR OTHER BASIS:	20.		
		GAIN (LOSS)	480.
DESCRIPTION:	1200 BELLSOUTH		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/10/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	35,999.		
COST OR OTHER BASIS:	49,631.		
		GAIN (LOSS)	-13,632.
DESCRIPTION:	1000 BELLSOUTH		
DATE ACQUIRED:	2/11/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/10/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	27,499.		
COST OR OTHER BASIS:	41,359.		
		GAIN (LOSS)	-13,860.
DESCRIPTION:	10 BELLSOUTH		
DATE ACQUIRED:	1/24/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/11/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,290.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	1,290.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	12 BELLSOUTH		
DATE ACQUIRED:	1/24/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	7/11/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	540.		
COST OR OTHER BASIS:	0.		
		GAIN (LOSS)	540.
DESCRIPTION:	150 BEBE		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	9/05/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,270.		
COST OR OTHER BASIS:	2,927.		
		GAIN (LOSS)	343.
DESCRIPTION:	200 BEBE		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	9/05/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	4,360.		
COST OR OTHER BASIS:	3,128.		
		GAIN (LOSS)	1,232.
DESCRIPTION:	15278 FT INCOME ALLOC		
DATE ACQUIRED:	8/05/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	9/14/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	144,438.		
COST OR OTHER BASIS:	148,774.		
		GAIN (LOSS)	-4,336.
DESCRIPTION:	100 AARON RENTS		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/11/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,245.		
COST OR OTHER BASIS:	2,801.		
		GAIN (LOSS)	-556.
DESCRIPTION:	100 AARON RENTS		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/11/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,245.		
COST OR OTHER BASIS:	2,654.		
		GAIN (LOSS)	-409.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	100 COMMERCE BANCORP		
DATE ACQUIRED:	7/06/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/16/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,600.		
COST OR OTHER BASIS:	3,250.		
		GAIN (LOSS)	350.
DESCRIPTION:	50 FLORIDA EAST COAST		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/19/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,990.		
COST OR OTHER BASIS:	2,756.		
		GAIN (LOSS)	234.
DESCRIPTION:	60 FLORIDA EAST COAST		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/19/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,588.		
COST OR OTHER BASIS:	2,824.		
		GAIN (LOSS)	764.
DESCRIPTION:	75 INFINITY PROP		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	10/24/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,303.		
COST OR OTHER BASIS:	3,134.		
		GAIN (LOSS)	169.
DESCRIPTION:	200 HAWK		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/01/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,200.		
COST OR OTHER BASIS:	2,496.		
		GAIN (LOSS)	-296.
DESCRIPTION:	300 HAWK		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/01/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,300.		
COST OR OTHER BASIS:	3,477.		
		GAIN (LOSS)	-177.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	334.788 XSFRX		
DATE ACQUIRED:	3/10/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	4,151.		
COST OR OTHER BASIS:	4,024.		
		GAIN (LOSS)	127.
DESCRIPTION:	93.221 HIGHLAND CAPITAL		
DATE ACQUIRED:	5/04/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,156.		
COST OR OTHER BASIS:	1,121.		
		GAIN (LOSS)	35.
DESCRIPTION:	75.075 XSFRX		
DATE ACQUIRED:	7/02/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	931.		
COST OR OTHER BASIS:	905.		
		GAIN (LOSS)	26.
DESCRIPTION:	91.689 XSFRX		
DATE ACQUIRED:	8/03/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,137.		
COST OR OTHER BASIS:	1,108.		
		GAIN (LOSS)	29.
DESCRIPTION:	79.184 XSFRX		
DATE ACQUIRED:	9/02/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	982.		
COST OR OTHER BASIS:	957.		
		GAIN (LOSS)	25.
DESCRIPTION:	88.178 XSFRX		
DATE ACQUIRED:	10/04/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,093.		
COST OR OTHER BASIS:	1,063.		
		GAIN (LOSS)	30.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	94.34 XSFRX		
DATE ACQUIRED:	11/15/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/01/2004		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,170.		
COST OR OTHER BASIS:	1,142.		
		GAIN (LOSS)	28.
DESCRIPTION:	104.73 XSFRX		
DATE ACQUIRED:	1/03/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,299.		
COST OR OTHER BASIS:	1,266.		
		GAIN (LOSS)	33.
DESCRIPTION:	107.859 XSFRX		
DATE ACQUIRED:	2/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,337.		
COST OR OTHER BASIS:	1,304.		
		GAIN (LOSS)	33.
DESCRIPTION:	92.579 XSFRX		
DATE ACQUIRED:	3/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,148.		
COST OR OTHER BASIS:	1,123.		
		GAIN (LOSS)	25.
DESCRIPTION:	110.843 XSFRX		
DATE ACQUIRED:	4/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,375.		
COST OR OTHER BASIS:	1,346.		
		GAIN (LOSS)	29.
DESCRIPTION:	112.228 XSFRX		
DATE ACQUIRED:	5/02/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,392.		
COST OR OTHER BASIS:	1,356.		
		GAIN (LOSS)	36.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	117.499 XSFRX		
DATE ACQUIRED:	7/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,457.		
COST OR OTHER BASIS:	1,418.		
		GAIN (LOSS)	39.
DESCRIPTION:	128.781 XSFRX		
DATE ACQUIRED:	8/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,597.		
COST OR OTHER BASIS:	1,561.		
		GAIN (LOSS)	36.
DESCRIPTION:	129.38 XSFRX		
DATE ACQUIRED:	9/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,604.		
COST OR OTHER BASIS:	1,576.		
		GAIN (LOSS)	28.
DESCRIPTION:	126.311 XSFRX		
DATE ACQUIRED:	10/03/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,566.		
COST OR OTHER BASIS:	1,550.		
		GAIN (LOSS)	16.
DESCRIPTION:	143.942 XSFRX		
DATE ACQUIRED:	11/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,785.		
COST OR OTHER BASIS:	1,762.		
		GAIN (LOSS)	23.
DESCRIPTION:	138.554 XSFRX		
DATE ACQUIRED:	12/01/2005		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,718.		
COST OR OTHER BASIS:	1,692.		
		GAIN (LOSS)	26.

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THE MOMMSEN FOUNDATION

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	177.896 XSFRX		
DATE ACQUIRED:	1/03/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,206.		
COST OR OTHER BASIS:	2,176.		
		GAIN (LOSS)	30.
DESCRIPTION:	151.382 XSFRX		
DATE ACQUIRED:	2/01/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,877.		
COST OR OTHER BASIS:	1,868.		
		GAIN (LOSS)	9.
DESCRIPTION:	134.995 XSFRX		
DATE ACQUIRED:	3/01/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,674.		
COST OR OTHER BASIS:	1,669.		
		GAIN (LOSS)	5.
DESCRIPTION:	161.389 XSFRX		
DATE ACQUIRED:	4/03/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,001.		
COST OR OTHER BASIS:	1,996.		
		GAIN (LOSS)	5.
DESCRIPTION:	164.472 XSFRX		
DATE ACQUIRED:	5/01/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,039.		
COST OR OTHER BASIS:	2,043.		
		GAIN (LOSS)	-4.
DESCRIPTION:	162.669 XSFRX		
DATE ACQUIRED:	6/01/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,017.		
COST OR OTHER BASIS:	2,022.		
		GAIN (LOSS)	-5.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	164.889 XSFRX		
DATE ACQUIRED:	7/03/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,045.		
COST OR OTHER BASIS:	2,045.	GAIN (LOSS)	0.
DESCRIPTION:	189.177 XSFRX		
DATE ACQUIRED:	7/31/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,346.		
COST OR OTHER BASIS:	2,348.	GAIN (LOSS)	-2.
DESCRIPTION:	190.332 XSFRX		
DATE ACQUIRED:	8/31/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	1/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,360.		
COST OR OTHER BASIS:	2,366.	GAIN (LOSS)	-6.
DESCRIPTION:	180.136 XSFRX		
DATE ACQUIRED:	9/29/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,234.		
COST OR OTHER BASIS:	2,232.	GAIN (LOSS)	2.
DESCRIPTION:	185.74 XSFRX		
DATE ACQUIRED:	10/31/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,303.		
COST OR OTHER BASIS:	2,307.	GAIN (LOSS)	-4.
DESCRIPTION:	1612.903 XSFRX		
DATE ACQUIRED:	3/10/2004		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/15/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	20,000.		
COST OR OTHER BASIS:	19,387.	GAIN (LOSS)	613.

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STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	35000 WHR		
DATE ACQUIRED:	4/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/22/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,010.		
COST OR OTHER BASIS:	3,157.		
		GAIN (LOSS)	-147.
DESCRIPTION:	40000 WHR		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/22/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,440.		
COST OR OTHER BASIS:	3,120.		
		GAIN (LOSS)	320.
DESCRIPTION:	10 PNRA		
DATE ACQUIRED:	5/01/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/29/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	575.		
COST OR OTHER BASIS:	734.		
		GAIN (LOSS)	-159.
DESCRIPTION:	50 PNRA		
DATE ACQUIRED:	5/12/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/29/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,875.		
COST OR OTHER BASIS:	3,500.		
		GAIN (LOSS)	-625.
DESCRIPTION:	50 PNRA		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	11/29/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,875.		
COST OR OTHER BASIS:	2,595.		
		GAIN (LOSS)	280.
DESCRIPTION:	76 PTRY		
DATE ACQUIRED:	9/12/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/05/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,781.		
COST OR OTHER BASIS:	4,256.		
		GAIN (LOSS)	-475.

STATEMENT 1 (CONTINUED)
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	34 PTRY		
DATE ACQUIRED:	9/21/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/07/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	1,674.		
COST OR OTHER BASIS:	1,904.		
		GAIN (LOSS)	-230.
DESCRIPTION:	75 HERO		
DATE ACQUIRED:	4/27/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/20/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	2,326.		
COST OR OTHER BASIS:	2,984.		
		GAIN (LOSS)	-658.
DESCRIPTION:	100 HERO		
DATE ACQUIRED:	7/26/2006		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/20/2006		
TO WHOM SOLD:			
GROSS SALES PRICE:	3,101.		
COST OR OTHER BASIS:	3,473.		
		GAIN (LOSS)	-372.
		TOTAL	<u>\$ -48,926.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

OTHER INVESTMENT INCOME.....	\$	1,236.
TOTAL	\$	<u>1,236.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING.....	\$ 2,195.			\$ 2,195.
TOTAL	<u>\$ 2,195.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 2,195.</u>

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THE MOMMSEN FOUNDATION

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STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISOR FEES.....	\$ -8,222.			\$ -8,222.
RESEARCH EXPENSES.....	57,329.			57,329.
TOTAL	<u>\$ 49,107.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 49,107.</u>

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX.....	\$ 542.			\$ 542.
TAX REFUND.....	-625.			-625.
TOTAL	<u>\$ -83.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ -83.</u>

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGE.....	\$ 990.			\$ 990.
LICENSE AND FEES.....	75.			75.
TOTAL	<u>\$ 1,065.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 1,065.</u>

STATEMENT 7
FORM 990-PF, PART VI, LINE 9
TAX DUE

TAX DUE.....	\$ 1,619.
LATE PAYMENT PENALTY.....	48.
LATE INTEREST.....	65.
TOTAL	<u>\$ 1,732.</u>

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THE MOMMSEN FOUNDATION

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STATEMENT 8
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP & DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
KATHARINA MOMMSEN 980 PALO ALTO AVE. PALO ALTO, CA 94301-2223	DIRECTOR/PRES. NONE	\$ 0.	\$ 0.	\$ 0.
DAVID PIKE 329 DEER MOUNTAIN RD PITTSBORO, NC 27312	EXEC. DIR/CFO NONE	0.	0.	0.
JANE PIKE 329 DEER MOUNTAIN ROAD PITTSBORO, NC 27312	DIR./SECRETARY NONE	0.	0.	0.
	TOTAL	\$ 0.	\$ 0.	\$ 0.

STATEMENT 9
FORM 990-PF, PART IX-A, LINE 1
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
THE FOUNDATION MADE CONTRIBUTIONS TO STIFTUNG WEIMARER KLASSIK, WEIMAR, GERMANY, AN ORGANIZATION THAT IS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE, TO FUND THE COMPLETION OF TEN OR MORE VOLUMES OF DIE ENSTSTEHUNG VON GOETHES WERKEN IN DOKUMENTEN, DOCUMENTING THE GENESIS OF ABOUT 1,400 WORKS OF JOHANN WOLFGANG VON GOETHE.	

10/30/07

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NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179/ SDA	PRIOR 179/ SDA/ DEPR.	METHOD	LIFE	CURRENT DEPR.
FORM 199										
AMORTIZATION										
1	ORGANIZATION COST	9/01/00		13,258			13,258	S/L	5	0
	TOTAL AMORTIZATION			13,258		0	13,258			0
	TOTAL DEPRECIATION			<u>0</u>		<u>0</u>	<u>0</u>			<u>0</u>
	GRAND TOTAL AMORTIZATION			13,258		0	13,258			0
	GRAND TOTAL DEPRECIATION			<u>0</u>		<u>0</u>	<u>0</u>			<u>0</u>

12/31/06

2006 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 1

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THE MOMMSEN FOUNDATION

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NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC. BAL DEPR.	SALVAG /BASIS REDUCT	DEPR. BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
FORM 990/990-PF																
AMORTIZATION																
1	ORGANIZATION COST	9/01/00		13,258							13,258	13,258	S/L	5		0
	TOTAL AMORTIZATION			13,258		0	0	0	0	0	13,258	13,258				0
	TOTAL DEPRECIATION			<u>0</u>		<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>				<u>0</u>
	GRAND TOTAL AMORTIZATION			13,258		0	0	0	0	0	13,258	13,258				0
	GRAND TOTAL DEPRECIATION			<u>0</u>		<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>				<u>0</u>

YEAR
2006

California Exempt Organization Annual Information Return

FORM
199

For calendar or fiscal year beginning month _____ day _____ year _____ and ending month _____ day _____ year _____	
IMPORTANT: Your number is required.	
California corporation number 2081356	Federal employer identification number (FEIN) 77-0549283
Corporation/Organization name THE MOMMSEN FOUNDATION	
Address including Suite, Room, or PMB no. 980 PALO ALTO AVE.	
City PALO ALTO, CA	State ZIP Code 94301-2223

A Final return? Check applicable box. Yes No
 Dissolved Withdrawn Merged/Reorganized (attach explanation)
 If a box is checked, enter date ●

B Check forms filed this year: State: 109 100 100S 100W Fed: 990
 Fed: 990EZ 990T 990PF 1041 1120H 1120

C If organization is exempt under R&TC Section 23701d and is a school, public charity, religious organization, or is controlled by a religious operation, check box. **See General Instruction F. No filing fee is required.** ●

D Is this a group filing? See General Instruction N Yes No

E Accounting method used . CASH

F Type of organization Exempt under Section 23701 D (insert letter)
 IRC Section 4947(a)(1) trust

Part I Complete Part I unless not required to file this form. See General Instructions B and C.

Receipts and Revenues <small>(Enclose, but do not staple, any payment.)</small>	1 Gross sales or receipts from other sources. From Side 2, Part II, line 8 ●	1	608,773.
	2 Gross dues and assessments from members and affiliates. ●	2	
	3 Gross contributions, gifts, grants, and similar amounts received. See instructions ●	3	
	4 Total gross receipts for filing requirement test. Add line 1 through line 3 This line must be completed. If the result is less than \$25,000, see General Instruction C. ●	4	608,773.
	5 Cost of goods sold 5	5	
	6 Cost or other basis, and sales expenses of assets sold. 6	6	577,036.
	7 Total costs. Add line 5 and line 6	7	577,036.
	8 Total gross income. Subtract line 7 from line 4	8	31,737.
Expenses	9 Total expenses and disbursements. From Side 2, Part II, line 18	9	52,284.
	10 Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	10	-20,547.
Filing Fee	11 Filing fee \$10 or \$25. See General Instruction F.	11	25.
	12 Penalty for failure to file on time. See General Instruction L.	12	
	13 Use tax. See General Instruction M. ●	13	
	14 Balance due. Add line 11, line 12, and line 13	14	25.

- 15** If exempt under R&TC Section 23701d, has the organization during the year: (1) participated in any political campaign or (2) attempted to influence legislation or any ballot measure, or (3) made an election under R&TC Section 23704.5 (relating to lobbying by public charities)? If 'Yes,' complete and attach form FTB 3509, Political or Legislative Activities by Section 23701d Organizations. Yes No
- 16** Did the organization have any changes in its activities, governing instrument, articles of incorporation, or bylaws that have not been reported to the Franchise Tax Board? If 'Yes,' complete an explanation and attach copies of revised documents. Yes No
- 17** Is the organization exempt under R&TC Section 23701g? Yes No
 If 'Yes,' enter amount of gross receipts from nonmember sources. . . . \$ _____
- 18** Did the organization file Form 100, Form 100S, 100W, or Form 109 to report taxable income? Yes No
 If 'Yes,' enter amount of total income reported. . . . \$ _____
- 19** The financial records are in care of. KATHARINA MOMMSEN Daytime telephone (650) 326-6637
 located at 980 PALO ALTO AVE., PALO ALTO, CA 94301-2223

Please Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		Title _____	
	Signature of officer _____ Date _____		● (650) 326-6637 Daytime telephone	
Paid Preparer's Use Only	Paid Preparer's signature	Date	Check if self-employed <input checked="" type="checkbox"/>	Paid preparer's SSN or PTIN
	RICHARD W. WONG			● P00358911
	Firm's name (or yours, if self-employed) and address	RICHARD W. WONG CPA 736 SACRAMENTO STREET SAN FRANCISCO, CA 94108-2505		FEIN ● 94-2523389
			● Daytime telephone (415) 781-1040	

Part II Organizations with gross receipts of more than \$25,000 and private foundations regardless of amount of gross receipts—complete Part II or furnish substitute information. See Specific Line Instructions.

Receipts from Other Sources	1 Gross sales or receipts from all business activities. See instructions	1	
	2 Interest	2	7,689.
	3 Dividends	3	71,738.
	4 Gross rents	4	
	5 Gross royalties	5	
	6 Gross amount received from sale of assets	6	528,110.
	7 Other income. Attach schedule SEE . STATEMENT . 1	7	1,236.
	8 Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1	8	608,773.
Expenses and Disbursements	9 Contributions, gifts, grants, and similar amounts paid. Attach schedule	9	
	10 Disbursements to or for members	10	
	11 Compensation of officers, directors, and trustees. Attach schedule SEE . STATEMENT . 2	11	0.
	12 Other salaries and wages	12	
	13 Interest	13	
	14 Taxes	14	-83.
	15 Rents	15	
	16 Depreciation and depletion	16	
	17 Other. Attach schedule SEE . STATEMENT . 3	17	52,367.
	18 Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9	18	52,284.

Schedule L Balance Sheets	Beginning of taxable year		End of taxable year	
	(a)	(b)	(c)	(d)
Assets				
1 Cash		75,947.		62,775.
2 Net accounts receivable				
3 Net notes receivable. Attach schedule				
4 Inventories				
5 Federal and state government obligations				
6 Investments in other bonds. Attach schedule				
7 Investments in stock. Attach schedule		1,543,666.		1,536,833.
8 Mortgage loans (number of loans)				
9 Other investments. Attach schedule				
10a Depreciable assets				
b Less accumulated depreciation				
11 Land				
12 Other assets. Attach schedule				
13 Total assets		1,619,613.		1,599,608.
Liabilities and net worth				
14 Accounts payable				
15 Contributions, gifts, or grants payable				
16 Bonds and notes payable. Attach schedule				542.
17 Mortgages payable				
18 Other liabilities. Attach schedule				
19 Capital stock or principle fund				
20 Paid-in or capital surplus. Attach reconciliation				
21 Retained earnings or income fund		1,619,613.		1,599,066.
22 Total liabilities and net worth		1,619,613.		1,599,608.

Schedule M-1 Reconciliation of income per books with income per return			
Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$25,000			
1 Net income per books	-20,547.	7 Income recorded on books this year not included in this return. Attach schedule	
2 Federal income tax		8 Deductions in this return not charged against book income this year. Attach schedule	
3 Excess of capital losses over capital gains		9 Total. Add line 7 and line 8	
4 Income not recorded on books this year. Attach schedule		10 Net income per return. Subtract line 9 from line 6	
5 Expenses recorded on books this year not deducted in this return. Attach schedule			
6 Total. Add line 1 through line 5	-20,547.		-20,547.

2006 Corporation Depreciation and Amortization

3885

Attach to Form 100 or Form 100W. FORM 199

Table with 2 columns: Corporation name (THE MOMMSEN FOUNDATION) and California corporation number (2081356)

Part I Election to Expense Certain Property Under IRC Section 179

Table with 3 columns: Line number, Description, and Amount. Includes lines 1-13 for Section 179 election details.

Part II Depreciation and Election of Additional First Year Expense Deduction Under R&TC Section 24356

Table with 8 columns: (a) Description of property, (b) Date acquired, (c) Cost or other basis, (d) Depreciation allowed or allowable in earlier years, (e) Depreciation method, (f) Life or rate, (g) Depreciation for this year, (h) Additional first year depreciation. Includes line 15 for totals.

Part III Summary

Table with 2 columns: Line number and Description. Includes lines 16-18 for summary calculations.

Part IV Amortization

Table with 7 columns: (a) Description of property, (b) Date acquired, (c) Cost or other basis, (d) Amortization allowed or allowable in earlier years, (e) R&TC section, (f) Period or percentage, (g) Amortization for this year. Includes line 19 for ORGANIZATION COST and lines 20-22 for summary.

CLIENT 1789

THE MOMMSEN FOUNDATION

77-0549283

10/30/07

05:16PM

**STATEMENT 1
FORM 199, PART II, LINE 7
OTHER INCOME**

OTHER INVESTMENT INCOME..... \$ 1,236.
TOTAL \$ 1,236.

**STATEMENT 2
FORM 199, PART II, LINE 11
COMPENSATION OF OFFICERS, DIRECTORS, AND TRUSTEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
KATHARINA MOMMSEN 980 PALO ALTO AVE. PALO ALTO, CA 94301-2223	DIRECTOR/PRES. NONE	\$ 0.	\$ 0.	\$ 0.
DAVID PIKE 329 DEER MOUNTAIN RD PITTSBORO, NC 27312	EXEC. DIR/CFO NONE	0.	0.	0.
JANE PIKE 329 DEER MOUNTAIN ROAD PITTSBORO, NC 27312	DIR./SECRETARY NONE	0.	0.	0.
TOTAL		\$ <u>0.</u>	\$ <u>0.</u>	\$ <u>0.</u>

**STATEMENT 3
FORM 199, PART II, LINE 17
OTHER EXPENSES**

ACCOUNTING FEES..... \$ 2,195.
BANK CHARGE..... 990.
LICENSE AND FEES..... 75.
OTHER PROFESSIONAL FEES..... 49,107.
TOTAL \$ 52,367.

IN
MAIL TO:
 Registry of Charitable Trusts
 P.O. Box 903447
 Sacramento, CA 94203-4470
 Telephone: (916) 445-2021

WEBSITE ADDRESS:
<http://ag.ca.gov/charities/>

ANNUAL REGISTRATION RENEWAL FEE REPORT TO ATTORNEY GENERAL OF CALIFORNIA

Sections 12586 and 12587, California Government Code
 11 Cal. Code Regs. sections 301-307, 311 and 312

Failure to submit this report annually no later than four months and fifteen days after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of \$800, plus interest, and/or fines or filing penalties as defined in Government Code Section 12586.1. IRS extensions will be honored.



State Charity Registration Number <u>2081356</u> THE MOMMSEN FOUNDATION <small>Name of Organization</small> <u>980 PALO ALTO AVE.</u> <small>Address (Number and Street)</small> <u>PALO ALTO, CA 94301-2223</u> <small>City or Town State ZIP Code</small>	Check if: <input type="checkbox"/> Change of address <input type="checkbox"/> Amended report Corporate or Organization No. <u>2081356</u> Federal Employer ID No. <u>77-0549283</u>
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ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, 311 and 312)
 Make Check Payable to Attorney General's Registry of Charitable Trusts

Gross Annual Revenue	Fee	Gross Annual Revenue	Fee	Gross Annual Revenue	Fee
Less than \$25,000	0	Between \$100,001 and \$250,000	\$50	Between \$1,000,001 and \$10 million	\$150
Between \$25,000 and \$100,000	\$25	Between \$250,001 and \$1 million	\$75	Between \$10,000,001 and \$50 million	\$225
				Greater than \$50 million	\$300

PART A – ACTIVITIES

For your most recent full accounting period (beginning 1/01/06 ending 12/31/06) list:
 Gross annual revenue \$ 31,737. Total assets \$ 1,599,608.

PART B – STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT

Note: If you answer 'yes' to any of the questions below, you must attach a separate sheet providing an explanation and details for each 'yes' response. Please review RRF-1 instructions for information required.

	Yes	No
1 During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof either directly or with an entity in which any such officer, director or trustee had any financial interest?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2 During this reporting period, was there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 During this reporting period, did non-program expenditures exceed 50% of gross revenues?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 During this reporting period, were any organization funds used to pay any penalty, fine or judgment? If you filed a Form 4720 with the Internal Revenue Service, attach a copy.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 During this reporting period, were the services of a commercial fundraiser or fundraising counsel for charitable purposes used? If 'yes,' provide an attachment listing the name, address, and telephone number of the service provider.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 During this reporting period, did the organization receive any governmental funding? If so, provide an attachment listing the name of the agency, mailing address, contact person, and telephone number.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 During this reporting period, did the organization hold a raffle for charitable purposes? If 'yes,' provide an attachment indicating the number of raffles and the date(s) they occurred.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Does the organization conduct a vehicle donation program? If 'yes,' provide an attachment indicating whether the program is operated by the charity or whether the organization contracts with a commercial fundraiser for charitable purposes.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Did your organization have prepared an audited financial statement in accordance with generally accepted accounting principles for this reporting period?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Organization's area code and telephone number (650) 326-6637
 Organization's e-mail address K.MOMMSEN@COMCAST.NET

I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, it is true, correct and complete.

KATHARINA MOMMSEN

Signature of authorized officer Printed Name Title Date